

Schedule of Account Fees

EFFECTIVE FEBRUARY 15, 2024

The fees listed below do not include commissions, markups, commission equivalents, or advisory fees. These fees apply to brokerage accounts carried by StoneX Financial Inc., your broker's clearing firm. Items not included in this Schedule of Account Fees will be billed at standard rates (available upon request).

SERVICE FEES		
ACAT Processing Transfer In	No charge	
ACAT Processing Transfer Out (Full or partial)	\$75.00	Per ACAT processed
ADR Custody Fee	Pass-through of fees	
Alternative Investments (charged quarterly)	\$5.00	Per month/ per security
Alternative Investments (via AIP)	\$2.00	Per month/ per security
Copies of Archived Monthly Statements/Confirms	\$10.00	Per document requested
Corporate Actions	\$50.00	Per event
DRS Reject	\$30.00 + pass-through of TA & DTC fees	Per event
DRS Transfer	\$100.00 + pass-through of TA & DTC fees	Per event
DTC/Government/DWAC Delivery	\$30.00 + pass-through of TA & DTC fees	Per receipt or delivery
Foreign Currency Negative Interest	Variable ¹ , pass-through at cost	
Foreign Security Custody Fee (Equities and Fixed Income)	2 bps per annum (CA, DE, FR, IT, UK) All other countries variable ¹	Per position/ per month (Subject to \$2.50 min and \$50.00 max)
Foreign Security Custody Fee (Non-US mutual funds) ⁶	10 bps per annum (\$2.50 minimum)	Per position/ per month
Foreign Security Delivery or Cancellation	\$50.00 + settlement fees	Per event/ instruction
Inactive Account Fee ²	\$50.00	Per year/ per account
Interested Party Confirm	\$3.25	Per confirm
Margin and COD Extensions (Reg-T)	\$10.00 + interest	Per event
Option Exercise/ Assignment Surcharge	\$25.00	Per transaction
Other DTC items (e.g., reorg, etc.)	\$30.00 + pass-through of TA & DTC fees	Per delivery
Overnight Delivery Package	\$50.00	Per delivery
Overnight Delivery Package (International)	\$100.00	Per delivery
Paper Delivery Monthly Flat Fee for Statements and/or Confirmations ³	\$5.00	Accrued monthly / billed quarterly
Paper Delivery to a Non-U.S. Address	Postage pass-through	Per statement/ confirmation
Pledge Account Annual Fee	\$50.00	Per year
Pledge Account Setup Fee	\$100.00	1-time per account
Retail Handling Fee	\$30.00	Per confirm
Rights/ Warrants Subscription	\$75.00	Per issue
UIT Rollover (manual/email request)	\$75.00	Per transaction
UIT Rollover	\$25.00	Per transaction
PHYSICAL SECURITY FEES ⁴		
Bond Redemption / Transfer	\$100.00	Per certificate
Deposit and/or Return of physical securities with DTC	\$50.00	Per transaction
Employee Stock Option Processing	\$50.00	Per transaction
Foreign Physical Certificate Receive/Deliver	\$250.00	Per transaction
Legal Transfer	\$50.00	Per transfer
Precious Metal Safekeeping Fee	0.75% of market value (\$5.00 quarterly min.)	Per annum, prorated quarterly
Restricted Security Clearance	\$150.00 + pass-through of TA & DTC fees	Per delivery
Transfer & Ship	\$600.00 + pass-through of TA & DTC fees	Per transfer/per certificate
Transfer & Ship (Rush)	\$750.00 + pass-through of TA & DTC fees	Per transfer/per certificate
Vault/Safekeeping	\$5.00	Per certificate/per month
CASH MANAGEMENT FEES		
ACH to Third Party	\$10.00	Per instruction
Check Copies	\$5.00	Per item
Federal Reserve Wire Transfer	\$25.00	Per wire
Incoming Wire Transfer	No charge	
International Wire Transfer	\$50.00	Per wire
Non-Sufficient Funds	\$40.00	Per item
Paid CMA Overdraft	\$35.00	Per item
Pre-Payment	\$35.00 + interest	Per event
Returned Deposit Item	\$40.00	Per item
Rush Order Checks	\$75.00	Per item
Stop Payment	\$30.00	Per item
IRA FEES (US CUSTOMERS ONLY)		
Annual Fees (not prorated)	\$50.00	Per year/per account
IRA MLP UBTI Annual Review Fee	\$4.00	Per year/ per investment
IRS Form 990-T MLP Unrelated Business Tax Income (UBTI) Filing Fee	\$400.00	Per year/ per filing
Private Placement Security - Processing Fee	\$150.00	Per investment
Private Placement Security- Custody Fee	\$5.00	Per year/per investment
Profit Sharing Plan Fee	\$65.00	Per year/per account
Termination Fee	\$50.00	Per account
Termination and Transfer	\$125.00	Per account
TRANSFER ON DEATH (TOD) FEES		
Establishment	\$50.00	Per account
Change of Beneficiary	\$25.00	Per account
Transfer to Beneficiary	\$100.00 + \$50.00 per beneficiary at distribution	
MARGIN INTEREST (FOR ACCOUNTS WITH MARGIN AGREEMENTS)		
\$0- 50,000	StoneX Base Rate + 2.25%	CHECK PAYMENT INSTRUCTIONS Make checks payable to <i>StoneX Financial Inc.</i> and include the affected account number in the Notes/Memo field.
\$50,001 - 100,000	StoneX Base Rate + 2.00%	
\$100,001 - 200,000	StoneX Base Rate + 1.75%	
\$200,001 - 500,000	StoneX Base Rate + 1.50%	
500,001 and over	StoneX Base Rate + 1.00%	
StoneX Base Rate is the Call Money Rate (as published by the Wall Street Journal and Bankrate.com) plus 2.50% per annum		

¹ Fee available by request.

² Inactive accounts are defined as accounts open for a 12-month period (August 1- July 31) without generating a) a trade or b) margin interest of at least \$100. Mutual fund systemic reinvestments and exchanges will be considered a trade. Dividend reinvestment activity is not considered a trade. Inactive fees do not apply to retirement accounts where Clearing Firm acts as custodian or servicing agent and collects service fees. Clearing Firm reserves the right to charge this fee on a pro rata basis for accounts that terminate their relationship with Clearing Firm and upon termination of the Fully Disclosed Clearing Agreement for any reason by either party.

³ Monthly Flat Fee is assessed for accounts not fully enrolled in eDelivery for statements and trade confirmations, whether or not a statement or confirmation is mailed. Clearing firm reserves the right to adjust the monthly flat fee based upon changes in postal, paper, vendor, or other cost factors.

⁴ All Physical Security fees are subject to additional charges (e.g. transfer agent fees, DTC fees, etc.) which will be passed through at cost.

⁵ Billed in September of each year.

⁶ Certain non-US mutual funds have additional custody fees of up to 5 bps per annum. Details available from your Introducing Firm.